

Please type a plus sign (+) inside this box →



PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	03AB149/YOD ALBR:0136	Total Pages	91
	First Named Inventor or Application Identifier David D. Brandt			
	Express Mail Label No.	EL 982 235 248 US		

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Commissioner for Patents P.O. Box 1450, Mail Stop Patent Application Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small>	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(where there is an assignee)</small> 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Other	
2. <input checked="" type="checkbox"/> Specification Total Pages 39 <small>(preferred arrangement set forth below)</small> -Descriptive -Cross References to Related Application -Statement Regarding Fed sponsored R & D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure	7. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies		
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 14 Total Pages 42			
4. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
5. <input type="checkbox"/> Incorporation By Reference <small>(useable if Box 4b is checked)</small> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
17. <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____ / ____			
18. CORRESPONDENCE ADDRESS <input type="checkbox"/> Customer Number or Bar Code Label <input checked="" type="checkbox"/> Correspondence address below <small>(Insert Customer No. or Attach bar code label here)</small>			
NAME Alexander M. Gerasimow Allen-Bradley Company, LLC			
ADDRESS 1201 South Second Street			
CITY Milwaukee, STATE Wisconsin ZIP CODE 53204-2496			
COUNTRY USA TELEPHONE (414) 382-5885 Fax (414) 382-3900			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Mail Stop Patent Application, Alexandria, VA 22313-1450.

FEE TRANSMITTAL		Complete if Known	
		Applicati n Number	unassigned
		Filing Dat	herewith
		First Named Invent r	David D. Brandt
		Group Art Unit	unknown
		Examiner Name	unknown
TOTAL AMOUNT OF PAYMENT	(\$) 1,564.00	Attorney Docket Number	03AB149/YOD (ALBR:0136)

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																															
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 01-0857/03AB149/YOD (ALBR:0136)</p> <p>Deposit Account Name Rockwell Automation Technologies, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>—</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing or cover sheet</td><td>—</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>—</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>—</td></tr> <tr><td>112</td><td>920</td><td>112</td><td>920</td><td>Requesting publication of SIR prior to Examiner action</td><td>—</td></tr> <tr><td>113</td><td>1,840</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td>—</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within first month</td><td>—</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for response within second month</td><td>—</td></tr> <tr><td>117</td><td>950</td><td>217</td><td>475</td><td>Extension for response within third month</td><td>—</td></tr> <tr><td>118</td><td>1,570</td><td>218</td><td>755</td><td>Extension for response within fourth month</td><td>—</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td>—</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>—</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>—</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>—</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td>—</td></tr> <tr><td>141</td><td>1,320</td><td>241</td><td>660</td><td>Petition to revive unintentionally abandoned application</td><td>—</td></tr> <tr><td>142</td><td>1,320</td><td>242</td><td>660</td><td>Utility issue fee (or reissue)</td><td>—</td></tr> <tr><td>143</td><td>450</td><td>243</td><td>225</td><td>Design issue fee</td><td>—</td></tr> <tr><td>144</td><td>670</td><td>244</td><td>335</td><td>Plant issue fee</td><td>—</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>—</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>—</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td>—</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><u>40.00</u></td></tr> <tr><td>146</td><td>790</td><td>246</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>—</td></tr> <tr><td>149</td><td>790</td><td>249</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>—</td></tr> <tr><td colspan="4">Other fee (specify)</td><td>—</td><td>—</td></tr> <tr><td colspan="4">Other fee (specify)</td><td>—</td><td>—</td></tr> <tr> <td colspan="4">SUBTOTAL (3)</td> <td></td> <td>(\$)<u>40.00</u></td> </tr> </tbody> </table> <p>* Reduced by Basic Filing Fee Paid</p>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	—	127	50	227	25	Surcharge - late provisional filing or cover sheet	—	139	130	139	130	Non-English specification	—	147	2,520	147	2,520	For filing a request for reexamination	—	112	920	112	920	Requesting publication of SIR prior to Examiner action	—	113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—	115	110	215	55	Extension for response within first month	—	116	400	216	200	Extension for response within second month	—	117	950	217	475	Extension for response within third month	—	118	1,570	218	755	Extension for response within fourth month	—	119	310	219	155	Notice of Appeal	—	120	310	220	155	Filing a brief in support of an appeal	—	121	270	221	135	Request for oral hearing	—	138	1,510	138	1,510	Petition to institute a public use proceeding	—	140	110	240	55	Petition to revive unavoidably abandoned application	—	141	1,320	241	660	Petition to revive unintentionally abandoned application	—	142	1,320	242	660	Utility issue fee (or reissue)	—	143	450	243	225	Design issue fee	—	144	670	244	335	Plant issue fee	—	122	130	122	130	Petitions to the Commissioner	—	123	50	123	50	Petitions related to provisional applications	—	126	240	126	240	Submission of Information Disclosure Stmt	—	581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40.00</u>	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—	149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—	Other fee (specify)				—	—	Other fee (specify)				—	—	SUBTOTAL (3)					(\$)<u>40.00</u>
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
105	130	205	65	Surcharge - late filing fee or oath	—																																																																																																																																																																												
127	50	227	25	Surcharge - late provisional filing or cover sheet	—																																																																																																																																																																												
139	130	139	130	Non-English specification	—																																																																																																																																																																												
147	2,520	147	2,520	For filing a request for reexamination	—																																																																																																																																																																												
112	920	112	920	Requesting publication of SIR prior to Examiner action	—																																																																																																																																																																												
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—																																																																																																																																																																												
115	110	215	55	Extension for response within first month	—																																																																																																																																																																												
116	400	216	200	Extension for response within second month	—																																																																																																																																																																												
117	950	217	475	Extension for response within third month	—																																																																																																																																																																												
118	1,570	218	755	Extension for response within fourth month	—																																																																																																																																																																												
119	310	219	155	Notice of Appeal	—																																																																																																																																																																												
120	310	220	155	Filing a brief in support of an appeal	—																																																																																																																																																																												
121	270	221	135	Request for oral hearing	—																																																																																																																																																																												
138	1,510	138	1,510	Petition to institute a public use proceeding	—																																																																																																																																																																												
140	110	240	55	Petition to revive unavoidably abandoned application	—																																																																																																																																																																												
141	1,320	241	660	Petition to revive unintentionally abandoned application	—																																																																																																																																																																												
142	1,320	242	660	Utility issue fee (or reissue)	—																																																																																																																																																																												
143	450	243	225	Design issue fee	—																																																																																																																																																																												
144	670	244	335	Plant issue fee	—																																																																																																																																																																												
122	130	122	130	Petitions to the Commissioner	—																																																																																																																																																																												
123	50	123	50	Petitions related to provisional applications	—																																																																																																																																																																												
126	240	126	240	Submission of Information Disclosure Stmt	—																																																																																																																																																																												
581	40	581	40	Recording each patent assignment per property (times number of properties)	<u>40.00</u>																																																																																																																																																																												
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—																																																																																																																																																																												
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—																																																																																																																																																																												
Other fee (specify)				—	—																																																																																																																																																																												
Other fee (specify)				—	—																																																																																																																																																																												
SUBTOTAL (3)					(\$)<u>40.00</u>																																																																																																																																																																												
<p>1. FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td><u>750.00</u></td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td>—</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td>—</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td>—</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td>—</td></tr> <tr> <td colspan="4">SUBTOTAL (1)</td> <td></td> <td>(\$)<u>750.00</u></td> </tr> </tbody> </table> <p>2. CLAIMS</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td>—</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td>—</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim</td><td>—</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>Reissue independent claims over original patent</td><td>—</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td>—</td></tr> <tr> <td colspan="4">SUBTOTAL (2)</td> <td></td> <td>(\$)<u>774.00</u></td> </tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	<u>750.00</u>	106	330	206	165	Design filing fee	—	107	510	207	255	Plant filing fee	—	108	740	208	370	Reissue filing fee	—	114	160	214	80	Provisional filing fee	—	SUBTOTAL (1)					(\$)<u>750.00</u>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20	—	102	84	202	42	Independent claims in excess of 3	—	104	280	204	140	Multiple dependent claim	—	109	84	209	42	Reissue independent claims over original patent	—	110	18	210	9	Reissue claims in excess of 20 and over original patent	—	SUBTOTAL (2)					(\$)<u>774.00</u>	<p>1. FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td><u>750.00</u></td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td>—</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td>—</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td>—</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td>—</td></tr> <tr> <td colspan="4">SUBTOTAL (1)</td> <td></td> <td>(\$)<u>750.00</u></td> </tr> </tbody> </table> <p>2. CLAIMS</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td>—</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td>—</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim</td><td>—</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>Reissue independent claims over original patent</td><td>—</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td>—</td></tr> <tr> <td colspan="4">SUBTOTAL (2)</td> <td></td> <td>(\$)<u>774.00</u></td> </tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	<u>750.00</u>	106	330	206	165	Design filing fee	—	107	510	207	255	Plant filing fee	—	108	740	208	370	Reissue filing fee	—	114	160	214	80	Provisional filing fee	—	SUBTOTAL (1)					(\$)<u>750.00</u>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20	—	102	84	202	42	Independent claims in excess of 3	—	104	280	204	140	Multiple dependent claim	—	109	84	209	42	Reissue independent claims over original patent	—	110	18	210	9	Reissue claims in excess of 20 and over original patent	—	SUBTOTAL (2)					(\$)<u>774.00</u>						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
101	740	201	370	Utility filing fee	<u>750.00</u>																																																																																																																																																																												
106	330	206	165	Design filing fee	—																																																																																																																																																																												
107	510	207	255	Plant filing fee	—																																																																																																																																																																												
108	740	208	370	Reissue filing fee	—																																																																																																																																																																												
114	160	214	80	Provisional filing fee	—																																																																																																																																																																												
SUBTOTAL (1)					(\$)<u>750.00</u>																																																																																																																																																																												
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
103	18	203	9	Claims in excess of 20	—																																																																																																																																																																												
102	84	202	42	Independent claims in excess of 3	—																																																																																																																																																																												
104	280	204	140	Multiple dependent claim	—																																																																																																																																																																												
109	84	209	42	Reissue independent claims over original patent	—																																																																																																																																																																												
110	18	210	9	Reissue claims in excess of 20 and over original patent	—																																																																																																																																																																												
SUBTOTAL (2)					(\$)<u>774.00</u>																																																																																																																																																																												
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
101	740	201	370	Utility filing fee	<u>750.00</u>																																																																																																																																																																												
106	330	206	165	Design filing fee	—																																																																																																																																																																												
107	510	207	255	Plant filing fee	—																																																																																																																																																																												
108	740	208	370	Reissue filing fee	—																																																																																																																																																																												
114	160	214	80	Provisional filing fee	—																																																																																																																																																																												
SUBTOTAL (1)					(\$)<u>750.00</u>																																																																																																																																																																												
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																												
103	18	203	9	Claims in excess of 20	—																																																																																																																																																																												
102	84	202	42	Independent claims in excess of 3	—																																																																																																																																																																												
104	280	204	140	Multiple dependent claim	—																																																																																																																																																																												
109	84	209	42	Reissue independent claims over original patent	—																																																																																																																																																																												
110	18	210	9	Reissue claims in excess of 20 and over original patent	—																																																																																																																																																																												
SUBTOTAL (2)					(\$)<u>774.00</u>																																																																																																																																																																												

SUBMITTED BY		Complete (if applicable)			
Typed or Printed Name	Patrick S. Yoder	Reg. Number	37,479		
Signature		Date	September 15, 2003	Deposit Acct. User ID	01-0857/03AB149/YOD (ALBR:0136)